

INTEGRATED SAFEGUARDS DATA SHEET CONCEPT STAGE

Report No.: ISDSC12255

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I. BASIC INFORMATION

A. Basic Project Data

Country:	Sudan	Project ID:	P152322
Project Name:	Sudan - FCPF REDD Readiness (P152322)		
Task Team Leader(s):	Asferachew Abate Abebe		
Estimated Board Date:	28-Sep-2018		
Managing Unit:	GENDR		
Sector(s):	Forestry (100%)		
Theme(s):	Climate change (100%)		
Financing (In USD Million)			
Total Project Cost:	3.80	Total Bank Financing:	0.00
Financing Gap:	0.00		
Financing Source		Amount	
Borrower		0.00	
Carbon Fund		0.00	
Readiness Fund of the Forest Carbon Partnership Facility		3.80	
Total		3.80	
Environmental Category:	B - Partial Assessment		
Is this a Repeater project?	No		

B. Project Objectives

The Project Development Objective (PDO) is to strengthen Sudan's capacity to design a socially and environmentally sound national Reducing Emissions from Deforestation and Forest Degradation Plus (REDD+) strategy.

C. Project Description

The Sudan Readiness Preparation Proposal (R-PP) presents all activities that the Government of

Sudan envisages to achieve REDD+ readiness. The total estimated funding required to achieve this readiness over the next three years is US\$7.82 million, of which US\$3.80 million will be funded by the FCPF. US\$0.32 million will be provided by the Government of Sudan. The Government has requested support from the UN-REDD Program and the Food and Agriculture Organization (FAO) for the remaining balance of approximately US\$4.0 million.

The FCPF grant will fund a first phase of readiness preparation activities and it will be organized along four components that correspond with the components and sub-components of the Sudan R-PP.

Component 1: Support for REDD+ readiness management and institutional arrangements (US \$1,250,000). This component will fund six sub-components as follows:

Sub-component 1.1: Set up and operation of the National REDD+ Secretariat (US\$470,000). This sub-component will fund the day-to-day operations of the National REDD+ Management Unit (REDD+ Unit) and establish the human and technical capacity to support REDD+ readiness preparation activities. The REDD+ Unit is housed in the Forest National Corporation and will be responsible for providing secretariat and logistic support for readiness preparation activities.

Sub-component 1.2: Set up and operation of the National REDD+ Steering Committee, Technical Advisory Committee and REDD+ Technical Working Groups (\$US130,000). This sub-component will fund the establishment of National REDD+ Steering Committee (NRSC), Technical Advisory Committee (TAC), and ad hoc REDD+ Technical Working Groups (RTWGs) as described in the R-PP. These are the structures responsible for leading the execution and strategic planning of the REDD+ process and for providing technical inputs and guidance.

Sub-component 1.3: Strengthen sub-national REDD+ institutional structures (\$US300,000). This sub-component will support decentralized implementation arrangements at the state and locality levels, including operational support for regional technical specialist. Furthermore, this sub-component will support the strengthening of existing multi-sectoral land use platforms at the state level to facilitate cross-sectoral coordination. The Ministry of Agriculture at State levels are cross-sectoral and would house the platform. As appropriate, additional sectors engaged in land use would be added to ensure representation during the implementation of readiness activities. The support will target high-forested area, which include the central and eastern states, as well as the Blue Nile, Kordofan and Darfur regions as feasible.

Sub-component 1.4: Capacity building for REDD+ institutional arrangements (\$US100,000). This component will enhance capacity and knowledge on REDD+ by funding workshops, training activities and technical assistance for the REDD Unit, FNC, TAC, and local level REDD+ Focal Points and technical specialists to build sufficient technical, policy, communication capacity.

Sub-component 1.5: Feedback and Grievance Redress Mechanism for REDD+ (US\$200,000). This sub-component will fund the assessment, strengthening and/or establishment, as appropriate, of a feedback and grievance redress mechanism/s (FGRM) to handle feedback and address complaints during the readiness phase and beyond to implementation of future REDD+ emission reductions programs.

Subcomponent 1.6: Program monitoring and evaluation (US\$50,000). This sub-component will support monitoring activities such as implementation of the monitoring and evaluation framework for REDD+, independent assessment of readiness at mid-term, final program review, and preparation of annual progress reports.

Component 2: Support for national REDD+ Strategy (including Strategic Environmental and Social Assessment) (\$US850,000)

Sub-component 2.1: In-depth analysis of drivers of deforestation and forest degradation, including studies on policies, wood-based energy, land tenure and forest governance (\$US300,000). This sub-component will fund:

- a) Analytical studies to deepen the analysis of the drivers of deforestation and degradation, including review of legal, policy and political economy considerations discussed in the R-PP, as well as review of agriculture, forestry and other land uses.
- b) Assessment of forest governance and land tenure, including land use and tenure arrangements. This study will carry out forest governance and land tenure analysis, including traditional customary land ownership and usage. It will provide an overview of the factors influencing decision-making regarding forest and land use. It will also establish a baseline against which forest governance can be assessed and monitored during REDD+ implementation.
- c) On the basis of the outcomes of the studies undertaken in sub-component 2.1 and 2.2, the Grant will support the review of forestry, rangeland, livestock and water policies (elaborated further in the R-PP), as required. This will include meetings and workshops to discuss potential policy reform, legislative amendments as well as national and state-level land use planning and zoning, as required.

Sub-component 2.2: In-depth analysis of strategic options to address deforestation and forest degradation (\$US200,000). This sub-component will fund:

- a) Analytical work on these options to ensure that they reflect new knowledge on the drivers of deforestation and forest degradation study to be conducted in sub-component 2.1.
- b) The strategy options identified during readiness will be screened and prioritized based on the economic, environmental and social costs and benefits. Important co-benefits in Sudan include biodiversity conservation, energy efficiency, reforestation and environmental services protection, as well as water, food security and improvement in livelihoods. This study will analyze the costs and benefits of different REDD+ Strategy options. It will also include an ecosystem valuation of forests to get a better understanding of their economic importance.

Sub-component 2.3: Strategic Environmental and Social Assessment (SESA) and development of Environmental and Social Management Framework (ESMF) (US\$250,000). This component will support the integration of social and environmental concerns into the REDD+ strategy development process and ensure that negative impacts are avoided or minimized.

Sub-component 2.4: Study on options for benefit-sharing related to REDD+ (\$US50,000). This component will fund an options analysis and present recommendations for the development of efficient, effective and equitable arrangements for sharing benefits related to the implementation of REDD+.

Component 3: Support for stakeholder engagement (\$US400,000). This component provides a framework for engagement of relevant stakeholders during readiness preparation as well as enhancing capacities at national, state and locality levels. Specifically this component will fund consultations on key issues addressed under the FCPF, including (a) the drivers of deforestation and degradation; (b) the identification of national REDD+ strategy options; (c) land and forest law, policy and governance; (d) benefit sharing; (e) SESA; and (f) MRV. The consultations will be focused on the eastern and central regions, and lessons learned will be used for the other states. Potential activities for consultation and their cost estimates to build capacity were discussed with stakeholders in detail.

Sub-component 3.1: Consultation and participation for activities to be implemented under the FCPF

grant (\$US300,000). The sub-component activities will fund:

- a) The development of a detailed and robust consultation and participation plan to be implemented during readiness. This plan would build on Sudan's existing Communications Policy and formal Public Participation Policy, FCPF guidelines for effective stakeholder engagement and UNFCCC decisions on full and effective participation. Furthermore as a signatory to ILO169 as well as the United Nation Declaration of the Rights of Indigenous Peoples (UNDRIP), Sudan has adopted free prior and informed consent (FPIC) as the guiding principle for all consultations and participatory process.
- b) The deepening of the stakeholder mapping analysis that began during the R-PP formulation phase. It will identify the relevant stakeholders engaged in land use as those most likely to gain or lose from REDD+. Furthermore, it will fund targeted stakeholder outreach at national, state and locality levels on activities implemented by the FCPF grant.
- c) The Grant will also fund development of suitable communication materials and tools. The Federal level Extension and Mass Media unit within FNC will play a lead role in assisting with the development of the C&P Plan, as well as coordinating and managing information dissemination and collecting stakeholder feedback in a centralized manner. Capacity to perform this function at decentralized levels will be assessed, and strengthened as required.

Sub-component 3.2: Setting up and strengthening of civil society platforms (\$US100,000). This sub-component will support the strengthening of existing or establishment of new, where appropriate, community based-organizations (CBO)/ non-governmental organizations (NGO)/association platforms at the national level and in each of the states in order to facilitate the consultation and stakeholder engagement process for REDD+ readiness., seed collectors), women, research centers and universities, as appropriate to the national or state context.

Component 4: Support for Monitoring, Reporting and Verification (\$US1,300,000). This component will have 3 sub-components and supports the following key activities:

- a) An initial set of activities, helping Sudan to build the foundation needed for future: i) operationalization of the Sudan Forest Monitoring System, ii) calculation of a national reference emission level (REL)/reference level (RL), and iii) generation of robust forest data feeding into the National Greenhouse Gas Inventory and National Communications/Biennial Updates to the United Nations Framework Convention on Climate Change (UNFCCC) (lead by HCENR MoEFPD).
- b) Data collection and measurement activities underpinning the Sudan Forest Monitoring System. In line with relevant UNFCCC decisions and IPCC guidelines, Sudan will use a combination of remote sensing and ground based forest carbon inventory approaches for estimating forest-related GHG emissions by sources and removals by sinks, forest carbon stocks and forest area changes.

D. Project location and salient physical characteristics relevant to the safeguard analysis (if known)

REDD+ readiness activities are country wide. However, the activities are limited to analytical studies, capacity building, and consultation process at national and state levels. No physical activities will be implemented on the ground.

E. Borrowers Institutional Capacity for Safeguard Policies

Sudan has environmental policies, laws and regulations. Furthermore there are existing legal and regulatory frameworks relating to forest and other sectors that provide good basis for the governance in relation to REDD+. However, the Borrower's institutional capacity, for implementing national polices and laws as well as the World Bank environmental and social safeguards, is not strong. Also, effective coordination between relevant institutions across sectors and institutional capacity to

implement safeguards is weak. In order to address the capacity limitation, a Safeguards Working Group will be established that will work closely with the FNC REDD+ Management Unit, the TAC and the SESA Consultants to mainstream social and environmental issues in the various activities that will be funded under REDD+ readiness and lead the SESA preparation process. Furthermore, the World Bank Team will work closely with the Safeguard Working Group and the environmental and social specialists in the REDD+ Management Unit.

F. Environmental and Social Safeguards Specialists on the Team

Haddy Jatou Sey (GCCGT)

Tamene Tiruneh (GSPDR)

II. SAFEGUARD POLICIES THAT MIGHT APPLY

Safeguard Policies	Triggered?	Explanation (Optional)
Environmental Assessment OP/BP 4.01	Yes	The Safeguard Policy for Environment Assessment is triggered in the context of future support for REDD + activities which may involve civil works and capital investment. An Environmental and Social Management Framework (ESMF) will be prepared to manage potential E&S impacts of these activities at local level. The Strategic Environmental and Social Assessment (SESA) to be prepared by the project will serve as a key document providing guidance on addressing E&S issues in the REDD+ Strategy, and the preparation of the ESMF. The SESA will also influence the final national REDD+ strategy, by ensuring that social and environmental risks are factored in the preparation of the strategy.
Natural Habitats OP/BP 4.04	Yes	The application of this policy seeks to ensure that all options proposed in the National REDD+ Strategy take into account the conservation of biodiversity, as well as the numerous environmental services and products that natural habitats provide to human society. Overall, REDD+ activities are expected to have significant positive impacts on natural habitats, as the country puts in place an effective strategy to reduce loss of natural forests. The SESA will address issues related to natural habitats and potential impacts of the National REDD+ Strategy, which will later be included in the ESMF.
Forests OP/BP 4.36	Yes	Overall, REDD+ activities are expected to have significant positive impacts on forest, in that the main goal of the program is to reduce deforestation and forest degradation, while contributing to the well-being of forest-dependent communities. Potential impact of the National REDD+ Strategy on natural forests will be assessed through the SESA and included in the ESMF. The SESA and associated

		ESMF will reflect the requirements of the Bank's Operational Policy regarding forest management, in particular as these relate to the establishment of plantations.
Pest Management OP 4.09	Yes	This policy is triggered in the context of agricultural intensification and reforestation activities which could be part of future REDD+ activities. The ESMF will address critical issues related to pest management, as necessary, and the strategic issues related to pest management will be reflected in the SESA. Furthermore, the project will encourage Integrated Pest Management in future REDD+ activities.
Physical Cultural Resources OP/BP 4.11	Yes	REDD+ activities are likely to be implemented in areas containing sites deemed physical cultural resources by rural communities living there (e.g. holy/secret sites such as sacred groves, sacred forests, etc.). Though it is not anticipated that the project will have negative impacts on any such sites, the SESA will address issues related to physical cultural resources and potential impacts of the National REDD+ Strategy, which will later be included in the ESMF and a specific dedicated framework.
Indigenous Peoples OP/BP 4.10	Yes	This policy is triggered because there may be indigenous people in the areas where future REDD+ activities will be implemented. There will be a process of free, prior, and informed consultation with the affected Indigenous Peoples' particularly during sub-project preparation. Moreover, a social assessment will be conducted by the client, consulted upon and disclosed.
Involuntary Resettlement OP/BP 4.12	Yes	REDD+ activities will trigger Involuntary Resettlement in situations involving involuntary restrictions of access to legally designated parks, protected areas, or forest management / reforestation areas. The policy aims to avoid involuntary resettlement to the extent feasible, or to minimize and mitigate its adverse social and economic impacts in cases where resettlement or other involuntary restrictions cannot be avoided. Through the SESA process, any issues related to land acquisition or involuntary resettlement will be identified, and a Resettlement Policy Framework will be prepared. In terms of restriction to natural resources, a Process Framework will be prepared as well.

Safety of Dams OP/BP 4.37	No	This policy is not triggered because Dams will not be financed under the project which only supports analytical work, institutional strengthening and capacity building that are critical for Sudan's progress towards Readiness.
Projects on International Waterways OP/BP 7.50	No	Not applicable
Projects in Disputed Areas OP/BP 7.60	No	This policy is not triggered because the project will not be implemented in any disputed areas.

III. SAFEGUARD PREPARATION PLAN

A. Tentative target date for preparing the PAD Stage ISDS: 23-Feb-2015

B. Time frame for launching and completing the safeguard-related studies that may be needed. The specific studies and their timing¹ should be specified in the PAD-stage ISDS:

It is envisaged that the SESA would be launched six months after a Grant Agreement is signed. As described above, the Project will not finance activities to make Sudan ready for potential REDD+ initiatives. It will not have any investment activities on the ground and is thus not expected to have any direct environmental and social impacts. However, it is considered that the Project might have indirect impacts in the sense that the findings from analytical work carried out under the Project may influence the design of the national REDD+ Strategy. A draft Terms of Reference of the SESA have been prepared by the Forest National Coordination, REDD+ focal institution.

The SESA allows: (i) social and environmental considerations to be integrated into the REDD+ Readiness process, in particular the REDD+ strategy; (ii) stakeholder participation in identifying and prioritizing key issues, assessment of policy, institutional and capacity gaps to manage these priorities and recommendations, and disclosure of findings in the REDD Country's progress reports on Readiness preparation; (iii) an ESMF to be put in place to manage environmental and social risks and to mitigate potential adverse impacts; and (iv) development of a Resettlement Policy Framework or Process Framework (if required) to identify specific provisions to address potential displacement or restriction to and use of natural resources.

It is expected that the SESA process will pay particular attention to reviewing land tenure and customary land ownership and usage as it relates to conflict and its management and resolution.

IV. APPROVALS

Task Team Leader(s):	Name: Asferachew Abate Abebe	
Approved By:		
Safeguards Advisor:	Name:	Date:
Practice Manager/ Manager:	Name:	Date:

¹ Reminder: The Bank's Disclosure Policy requires that safeguard-related documents be disclosed before appraisal (i) at the InfoShop and (ii) in country, at publicly accessible locations and in a form and language that are accessible to potentially affected persons.